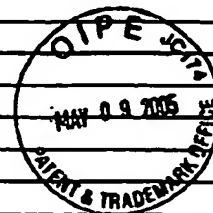


Substitution for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:40%;">Application Number</td> <td>10/003,093</td> </tr> <tr> <td>Filing Date</td> <td>November 2, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Joern Ostermann</td> </tr> <tr> <td>Group Art Unit</td> <td>2655</td> </tr> <tr> <td>Examiner Name</td> <td>Minerva Rivero</td> </tr> <tr> <td>Attorney Docket Number</td> <td>2001-0600C</td> </tr> </table>		Application Number	10/003,093	Filing Date	November 2, 2001	First Named Inventor	Joern Ostermann	Group Art Unit	2655	Examiner Name	Minerva Rivero	Attorney Docket Number	2001-0600C
Application Number	10/003,093														
Filing Date	November 2, 2001														
First Named Inventor	Joern Ostermann														
Group Art Unit	2655														
Examiner Name	Minerva Rivero														
Attorney Docket Number	2001-0600C														
Sheet	# 3 of 34														



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.*	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office*	Number (if known)	Kind Code*				
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T*
HR	5	DAVID KURLANDER, TIM SKELLY, DAVID SALESIN, "Comic Chat", Proceedings of the 23rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996		<input type="checkbox"/>
HR	6	POLLACK, "Happy in the East or Smiling in the West", New York Times. 12, August 1996.		<input type="checkbox"/>
HR	7	PELACHAUD, ET AL. "Generating Facial Expressions for Speech", Cognitive Science, Jan. 3, 1996, Vol. 20, no. 1, pp. 1-46.		<input type="checkbox"/>

Examiner Signature	Min Rivero	Date Considered	5/19/2005
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PATENT APPLICATION

PTO/SB/CBA MODIFIED

Substitution for form 1463A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known	
		Application Number	10/003,093
		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann
		Group Art Unit	2655
		Examiner Name	Minerva Rivero
		Attorney Docket Number	2001-0600C

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Examiner Initials*	Cite No.†	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
MR		US-6,532,011		Francini, et al.	3-2003	
MR		US-6,147,692		Shaw, et al	11-2000	
MR		US-4,276,570		Burson, et al.	06-1981	
MR		US-5,638,502		Murata, Yoshiuki,	06-1997	
MR		US-5,818,461		Rouet et al.	10-1998	
MR		US-6,476,815		Ando, Tsutomu	11-2002	
MR		US-6,381,346		Erasian, Arsev H.	04-2002	
MR		US-5,995,639		Kado, et al.	11-1999	
MR		US-5,689,618		Gasper, et al.	11-1997	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.†	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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MR	2	LIJUN YIN, A. BASU; "MPEG4 face modeling using fiducial points", IEEE; Image Processing, 1997. Proceedings., International Conference on, Volume: 1, 26-29. 1997	<input type="checkbox"/>

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PATENT APPLICATION

PTO/SB/03A MODIFIED

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known Application Number: 10/063,093 Filing Date: November 2, 2004 First Named Inventor: Joerg Ostermann et al. Group Art Unit: 2664 55 Examiner Name: Unknown Attorney Docket Number: 2000-0600C	
Sheet	2	of	2

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
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HR	1	David Kuriander, Tim Skelly, David Satesin; "Comit Chaj", Proceedings of the 23 rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996 London	<input type="checkbox"/>
HR	2	Pollack, "Happy in the East or Smiling in the West". New York Times. 12 August 1996. /	<input type="checkbox"/>
HR	3	Pelachaud et al., "Generating Facial Expressions for Speech". Cognitive Science. Jan. 3, 1996. Vol. 20, no. 1, pp. 1-46. /	<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature	<i>Min Olin</i>	Date Considered	5/19/2005
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Substitute for form 1449A/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as (necessary))				Application Number	
				Filing Date	
				First Name of Inventor	Joern Ostermann et al
				Group Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	2000-0600C

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Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T [†]
HR	AH	"Photo-realistic Talking-heads From Image Samples," by E. Cosatto and H. P. Graf, IEEE Transactions on Multimedia, Sept. 2000, Vol. 2, issue 3, pages 152-163.			Completed <input checked="" type="checkbox"/>
HR	AI	"Audio-Visual Speech Modeling for Continuous Speech Recognition," IEEE Trans. on MultiMedia, Vol. 2, No. 3, Sept. 2000.			Completed <input type="checkbox"/>
HR	AJ	"TTS Based Very Low Bit Rate Speech Coder, by K-S. Lee and R. V. Cox, Proc. ICASSP 1999, Vol. I, March 1999, pp. 181-184.			<input type="checkbox"/>
HR	AK	"Emu: An E-mail Preprocessor for Text-to-Speech," by Richard Sproat, Jianying Hu, and Hao Chen, IEEE Signal Processing Society 1998 Workshop on Multimedia Signal Processing, Dec. 7-9, 1998, Los Angeles, CA., USA.			Completed <input type="checkbox"/>
HR	AL	"Trends of ASR and TTS Applications in Japan," Proc. of International Workshop on Interactive Voice Technology for Telecommunications Applications (IVITA96), Sept. 1996.			Completed <input type="checkbox"/>

Examiner Signature	<i>Min Olin</i>	Date Considered	9/22/2004
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application Number	10/003,093	
				Filing Date	November 2, 2001	
				First Named Inventor	Joern Ostermann	
				Group Art Unit	2655	
Examiner Name				Minerva Rivero		
Attorney Docket Number				2001-0600C		
Sheet	1	of	1			

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MR		US-6,219,638		PADMANABHAN, et al.	04-2001	

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			Number (if known)	Kind Code*				
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	1		<input type="checkbox"/>

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